## UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS WACO DIVISION

SPEIR TECHNOLO	GIES LTD.,	
	Plaintiff,	Case No. 6:22-cv-00077
v.		JURY TRIAL DEMANDED
APPLE INC.,		
	Defendant.	

# COMPLAINT FOR PATENT INFRINGEMENT AGAINST APPLE INC.

This is an action for patent infringement arising under the Patent Laws of the United States of America, 35 U.S.C. § 1 *et seq.*, in which Plaintiff Speir Technologies Limited ("Plaintiff" or "Speir") makes the following allegations against Defendant Apple Inc. ("Defendant" or "Apple"):

#### **INTRODUCTION**

1. This complaint arises from Apple's unlawful infringement of the following United States patents owned by Plaintiff, which relate to improvements in mobile communications systems: United States Patent Nos. 8,345,780 ("the '780 Patent") and 7,321,777 ("the '777 Patent") (collectively, the "Asserted Patents").

#### **PARTIES**

2. Plaintiff Speir Technologies Limited is a limited liability company organized and existing under the law of Ireland, with its principal place of business at The Hyde Building, Suite 23, The Park, Carrickmines, Dublin 18, Ireland. Speir is the sole owner by assignment of all rights, title, and interest in the Asserted Patents, including the right to recover damages for past, present, and future infringement.

3. On information and belief, Defendant Apple Inc. is a publicly traded corporation organized under the laws of the State of California, with its principal place of business at One Apple Park Way, Cupertino, CA 95014. Apple may be served with process through its registered agent, CT Corporation System, at 818 West Seventh Street, Suite 930, Los Angeles, CA 90017.

#### **JURISDICTION AND VENUE**

- 4. This action arises under the patent laws of the United States, Title 35 of the United States Code. This Court has original subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 5. This Court has personal jurisdiction over Apple in this action because Apple has committed acts within this District giving rise to this action and has established minimum contacts with this forum such that the exercise of jurisdiction over Apple would not offend traditional notions of fair play and substantial justice. Apple, directly and through subsidiaries or intermediaries, has committed and continues to commit acts of infringement in this District by, among other things, making, using, importing, offering to sell, and selling products that infringe the Asserted Patents. Apple has not contested personal jurisdiction in this District in prior cases. *See, e.g., Scramoge Tech. Ltd. v. Apple Inc.*, No.6:21-cv-00579-ADA, Dkt. No. 31, ¶ 5 (W.D. Tex. Sept. 14, 2021).
- 6. Venue is proper in this District under 28 U.S.C. §§ 1391 and 1400(b). Venue is proper in this District under 28 U.S.C. §§ 1391 and 1400(b). Apple is registered to do business in Texas, and upon information and belief, Apple has transacted business in this District and have committed acts of direct and indirect infringement in this District by, among other things, making, using, offering to sell, selling, and importing products that infringe the Asserted Patents. Apple has regular and established places of business in this District, including at 12545 Riata Vista Cir.,

Austin, Texas 78727; 12801 Delcour Dr., Austin, Texas 78727; and 3121 Palm Way, Austin, Texas 78758.<sup>1</sup> Apple also has job listings for jobs relating to the accused 5G and Ultra-Wideband ("UWB") technologies in Austin, Texas.<sup>2</sup>

### THE ASSERTED PATENTS

- 7. On January 1, 2013, the United States Patent and Trademark Office issued U.S. Patent No. 8,345,780 ("the '780 Patent"), entitled "Wireless communication system compensating for interference and related methods," after full and fair examination. Plaintiff is the assignee of all rights, title, and interest in and to the '780 Patent and possesses all rights of recovery under the '780 Patent, including the right to recover damages for past, present, and future infringement. The '780 Patent is valid and enforceable. A true and correct copy of the '780 Patent is attached hereto as Exhibit 1.
- 8. On January 22, 2008, the United States Patent and Trademark Office issued U.S. Patent No. 7,321,777 ("the '777 Patent"), entitled "Wireless communications system including a wireless device locator and related methods," after full and fair examination. Plaintiff is the assignee of all rights, title, and interest in and to the '777 Patent and possesses all rights of recovery under the '777 Patent, including the right to recover damages for past, present, and future

<sup>&</sup>lt;sup>1</sup> See, e.g., <a href="https://www.apple.com/newsroom/2019/11/apple-expands-in-austin/">https://www.apple.com/newsroom/2019/11/apple-expands-in-austin/</a>; <a href="https://www.google.com/maps/place/Apple+Inc./@30.4324406,-97.7359733,15z/data=!4m5!3m4!1s0x0:0x5852421ec4ac410c!8m2!3d30.4322558!4d-97.7359386">https://www.apple.com/retail/domainnorthside/</a>.

<sup>&</sup>lt;sup>2</sup> See, e.g., <a href="https://jobs.apple.com/en-us/details/200308092/modem-prototype-system-architect?team=HRDWR">https://jobs.apple.com/en-us/details/200308092/modem-prototype-system-architect?team=HRDWR</a>; <a href="https://jobs.apple.com/en-us/details/200206438/soc-qos-performance-engineer?team=HRDWR">https://jobs.apple.com/en-us/details/200206438/soc-qos-performance-engineer?team=HRDWR</a>; <a href="https://jobs.apple.com/en-us/details/200294470/field-design-engineer?team=HRDWR">https://jobs.apple.com/en-us/details/200292503/nfc-uwb-field-design-engineer?team=HRDWR</a>; <a href="https://jobs.apple.com/en-us/details/200303024/automation-tools-qa-design-engineer?team=HRDWR">https://jobs.apple.com/en-us/details/200303024/automation-tools-qa-design-engineer?team=HRDWR</a>.

infringement. The '777 Patent is valid and enforceable. A true and correct copy of the '777 Patent is attached hereto as Exhibit 2.

#### **APPLE'S INFRINGEMENT**

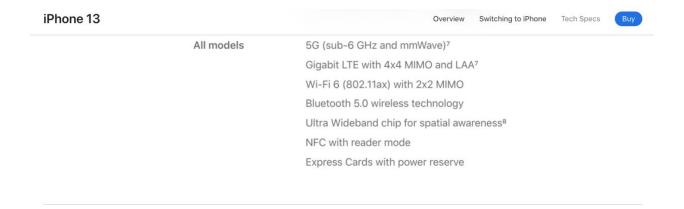
- 9. The allegations provided below are exemplary and without prejudice to Plaintiff's infringement contentions provided pursuant to the Court's scheduling order and local rules. Plaintiff's claim construction contentions regarding the meaning and scope of the claim terms will be provided under the Court's scheduling order and local rules. As detailed below, each element of at least one claim of each of the Asserted Patents is literally present in the accused products. To the extent that any element is not literally present, each such element is present under the doctrine of equivalents. Plaintiff's analysis below should not be taken as an admission that the preamble is limiting. While publicly available information is cited below, Plaintiff may rely on other forms of evidence to prove infringement, including evidence that is solely in the possession of Apple and/or third parties.
- 10. The accused products include at least the following products, as well as products with reasonably similar functionality. Identification of the accused products will be provided in plaintiff's infringement contentions pursuant to the Court's scheduling order and local rules. Apple imports into the United States, uses, makes, offers for sale, and sells in the United States the following products and infringes the asserted claims of the patents-in-suit (the "Accused Products"):
  - '780 Patent Accused Products: iPhone 12, iPhone 12 Mini, iPhone 12 Pro, iPhone 12 Pro Max, iPhone 13, iPhone 13 Mini, iPhone 13 Pro, iPhone 13 Pro Max, iPad Pro, iPad Mini, and any other products with 5G functionality.

• '777 Patent Accused Products: iPhone 11, iPhone 11 Pro, iPhone 11 Pro Max, iPhone 12, iPhone 12 Mini, iPhone 12 Pro, iPhone 12 Pro Max, iPhone 13, iPhone 13 Mini, iPhone 13 Pro, iPhone 13 Pro Max, AirTags, and any other products with UWB functionality.

#### **COUNT I**

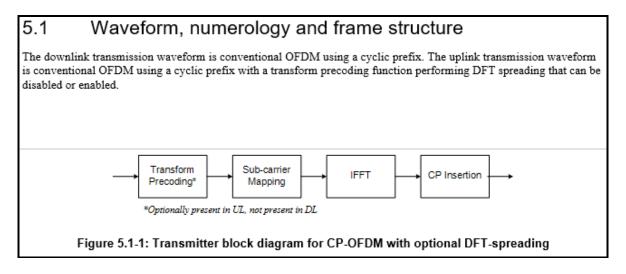
#### **INFRINGEMENT OF U.S. PATENT NO. 8,345,780**

- 11. Plaintiff realleges and incorporates by reference the foregoing paragraphs as if fully set forth herein.
- 12. Apple has been and is now directly infringing the '780 Patent, literally and/or under the doctrine of equivalents, in violation of 35 U.S.C. § 271(a), including by making, using, selling, and/or offering for sale in the United States or importing into the United States infringing products, including at least the Accused Products identified above. The Accused Products satisfy all of the claim limitations of one or more claims of the '780 Patent, including but not limited to claim 9.
- 13. Claim 9 of the '780 Patent recites "[a] wireless communications device operable to communicate with an other wireless communications device via a wireless communications link having at least one settable link characteristic." To the extent the preamble is limiting, the Accused Products each comprise a wireless communications device operable to communicate with an other wireless communications device via a wireless communications link having at least one settable link characteristic. For example, the Accused Products are configured to communicate with base stations using 5G cellular technology:



See https://www.apple.com/iphone-13/specs/.

14. Claim 9 of the '780 Patent recites that the "wireless communications device" comprises "an orthogonal frequency-division multiplexing (OFDM) wireless transceiver." The Accused Products each comprise an orthogonal frequency-division multiplexing (OFDM) wireless transceiver. For example, 5G uses OFDM in both the uplink and the downlink:



See 3GPP TS 38.300 V15.13.0.

# 5.3.1 OFDM baseband signal generation for all channels except PRACH

The time-continuous signal  $s_l^{(p,\mu)}(t)$  on antenna port p and subcarrier spacing configuration  $\mu$  for OFDM symbol  $l \in \{0,1,...,N_{\text{slot}}^{\text{slot}nime},\mu N_{\text{symb}}^{\text{slot}}-1\}$  in a subframe for any physical channel or signal except PRACH is defined by

$$\begin{split} s_l^{(p,\mu)}(t) &= \sum_{k=0}^{N_{\mathrm{grid}}^{\mathrm{disc}},N_{\mathrm{sc}}^{\mathrm{RB}}-1} c_{k,l}^{(p,\mu)} \cdot e^{j2\pi \left(k+k_0^{\mu}-N_{\mathrm{grid,x}}^{\mathrm{disc}},N_{\mathrm{sc}}^{\mathrm{RB}}/2\right)\Delta f\left(t-N_{\mathrm{CF}}^{\mu}T_{\mathrm{c}}-t_{\mathrm{saxt},l}^{\mu}\right)} \\ k_0^{\mu} &= \left(N_{\mathrm{grid,x}}^{\mathrm{start},\mu}+N_{\mathrm{grid,x}}^{\mathrm{size},\mu}/2\right)N_{\mathrm{sc}}^{\mathrm{RB}} - \left(N_{\mathrm{grid,x}}^{\mathrm{start},\mu_{b}}+N_{\mathrm{grid,x}}^{\mathrm{size},\mu}/2\right)N_{\mathrm{sc}}^{\mathrm{RB}}2^{\mu_{0}-\mu} \end{split}$$

where  $t_{\text{start},i}^{\mu} \leq t < t_{\text{start},i}^{\mu} + \left(N_{\text{u}}^{\mu} + N_{\text{CP},i}^{\mu}\right)T_{\text{c}}$  is the time within the subframe,

$$\begin{split} N_{\mathbf{u}}^{\mu} &= 2048 \; \kappa \cdot 2^{-\mu} \\ N_{\mathrm{CP},l}^{\mu} &= \begin{cases} 512 \; \kappa \cdot 2^{-\mu} & \text{extended cyclic prefix} \\ 144 \; \kappa \cdot 2^{-\mu} + 16 \kappa & \text{normal cyclic prefix,} \quad l = 0 \text{ or } l = 7 \cdot 2^{\mu} \\ 144 \; \kappa \cdot 2^{-\mu} & \text{normal cyclic prefix,} \quad l \neq 0 \text{ and } l \neq 7 \cdot 2^{\mu} \end{cases} \end{split}$$

See 3GPP TS 38.211 V15.9.0.

15. Claim 9 of the '780 Patent recites "a controller coupled to said wireless transceiver and configured to store short term and long term historical characteristics of interference." The Accused Products each comprise a controller coupled to said wireless transceiver and configured to store short term and long term historical characteristics of interference. For example, the controllers in the Accused Products are configured to store short term and long term historical characteristics of interference:

```
CSI-ReportConfig
The IE CSI-ReportConfig is used to configure a periodic or semi-persistent report sent on PUCCH on the cell in which the CSI-ReportConfig is included, or to configure a semi-persistent or aperiodic report sent on PUSCH triggered by DCI received on the cell in which the CSI-ReportConfig is included (in this case, the cell on which the report is sent is
determined by the received DCI). See TS 38.214 [19], clause 5.2.1.
                                                                       CSI-ReportConfig information element
 -- ASN1START
-- TAG-CSI-REPORTCONFIG-START
CSI-ReportPeriodicityAndOffset,
SEQUENCE (SIZE (1..maxNrofEWPs)) OF PUCCH-CSI-Resource
               semiPersistentOnPUCCH
                                            SEQUENCE {
    ENUMERATED {s15, s110, s120, s140, s180, s1160, s1320},
    SEQUENCE (SIZE (1.. maxNrofUL-Allocations)) OF INTEGER(0..32),
    PO-PUSCH-AlphaSetId
           semiPersistentOnPUSCH
               reportSlotConfig
reportSlotOffsetList
pOalpha
          aperiodic
                                                            SEQUENCE {
SEQUENCE (SIZE (1..maxNrofUL-Allocations)) OF INTEGER(0..32)
               reportSlotOffsetList
     reportQuantity
                                                          NULL,
NULL,
NULL,
NULL,
SEQUENCE {
ENUMERATED {n2, n4}
          none
cri-RI-PMI-CQI
          cri-RI-PMI-CQI
cri-RI-i1
cri-RI-i1-CQI
pdsch-BundleSizeForCSI
},
                                                                                                                                              OPTIONAL -- Need S
           cri-RT-COT
          cri-RI-CQI
cri-RSRP
ssb-Index-RSRP
cri-RI-LI-PMI-CQI
```

See 3GPP TS 38.331 V15.15.0.

#### CSI-ReportConfig field descriptions

Indicates in which serving cell the CSI-ResourceConfig indicated below are to be found. If the field is absent, the resources are on the same serving cell as this report

#### codebookConfia

Codebook configuration for Type-1 or Type-2 including codebook subset restriction cqi-FormatIndicator

Indicates whether the UE shall report a single (wideband) or multiple (subband) CQI (see TS 38.214 [19], clause 5.2.1.4).

Which CQI table to use for CQI calculation (see TS 38.214 [19], clause 5.2.2.1) csi-IM-ResourcesForInterference

CSI IM resources for interference measurement. csi-ResourceConfigId of a CSI-ResourceConfig included in the configuration of the serving cell indicated with the field "carrier" above. The CSI-ResourceConfig indicated here contains only CSI-IM resources. The bwp-Id in that CSI-ResourceConfig is the same value as the bwp-Id in the CSI-ResourceConfig indicated by resourcesForChannelMeasurement

#### csi-ReportingBand

Indicates a contiguous or non-contiguous subset of subbands in the bandwidth part which CSI shall be reported for. Each bit in the bit-string represents one subband. The right-most bit in the bit string represents the lowest subband in the BWP. The choice determines the number of subbands (subbands3 for 3 subbands, subbands4 for 4 subbands, and so on) (see TS 38.214 [19], clause 5.2.1.4). This field is absent if there are less than 24 PRBs (no sub band) and present otherwise (see TS 38.214 [19], clause

This field is not used in the specification. If received it shall be ignored by the UE

#### groupBasedBeamReporting

Turning on/off group beam based reporting (see TS 38.214 [19], clause 5.2.1.4).

#### non-PMI-PortIndication

Port indication for RI/CQI calculation. For each CSI-RS resource in the linked ResourceConfig for channel measurement, a port indication for each rank R, indicating which R ports to use. Applicable only for non-PMI feedback (see TS 38.214 [19], clause 5.2.1.4.2).
The first entry in non-PMI-PortIndication corresponds to the NZP-CSI-RS-Resource indicated by the first entry in nzp-CSI-RS-Resources in the NZP-CSI-RS-ResourceSet

indicated in the first entry of nzp-CSI-RS-ResourceSetList of the CSI-ResourceConfig whose CSI-ResourceConfigId is indicated in a CSI-MeasId together with the above CSI-ReportConfigld; the second entry in non-PMI-PortIndication corresponds to the NZP-CSI-RS-Resource indicated by the second entry in nzp-CSI-RS-Resources in the NZP-CSI-RS-ResourceSet indicated in the first entry of nzp-CSI-RS-ResourceSetList of the same CSI-ResourceConfig, and so on until the NZP-CSI-RS-Resource indicated by the last entry in nzp-CSI-RS-Resources in the in the NZP-CSI-RS-ResourceSet indicated in the first entry of nzp-CSI-RS-ResourceSetList of the same CSI-ResourceConfig. Then the next entry corresponds to the NZP-CSI-RS-Resource indicated by the first entry in nzp-CSI-RS-Resources in the NZP-CSI-RS-ResourceSet indicated in the second entry of nzp-CSI-RS-ResourceSetList of the same CSI-ResourceConfig and so on

#### nrofReportedRS

The number (N) of measured RS resources to be reported per report setting in a non-group-based report, N <= N, max, where N, max is either 2 or 4 depending on UE capability. (see TS 38.214 [19], clause 5.2.1.4) When the field is absent the UE applies the value 1.

#### nzp-CSI-RS-ResourcesForInterference

NZP CSI RS resources for interference measurement. csi-ResourceConfigid of a CSI-ResourceConfig included in the configuration of the serving cell indicated with the field "carrier" above. The CSI-ResourceConfig indicated here contains only NZP-CSI-RS resources. The bwp-Id in that CSI-ResourceConfig is the same value as the bwp-Id in the CSI-ResourceConfig indicated by resourcesForChannelMeasurement.

#### See 3GPP TS 38.331 V15.15.0.

#### resourcesForChannelMeasurement

Resources for channel measurement. csi-ResourceConfigld of a CSI-ResourceConfig included in the configuration of the serving cell indicated with the field "carrier" above. The CSI-ResourceConfig indicated here contains only NZP-CSI-RS resources and/or SSB resources. This CSI-ReportConfig is associated with the DL BWP indicated by bwp-Id in that CSI-ResourceConfig.

## subbandSize

Indicates one out of two possible BWP-dependent values for the subband size as indicated in TS 38 214 [19], table 5.2.1.4-2. If csi-ReportingBand is absent, the UE shall ignore this field

#### timeRestrictionForChannelMeasurements

Time domain measurement restriction for the channel (signal) measurements (see TS 38.214 [19], clause 5.2.1.1).

#### timeRestrictionForInterferenceMeasurements

Time domain measurement restriction for interference measurements (see TS 38.214 [19], clause 5.2.1.1).

#### See 3GPP TS 38.331 V15.15.0.

Many transmission features in modern radio-access technologies are based on the availability of more or less detailed knowledge about different characteristics of the radio channel over which a signal is to be transmitted. This may range from rough knowledge of the radio-channel path loss for transmit-power adjustment to detailed knowledge about the channel amplitude and phase in the time, frequency, and/or spatial domain. Many transmission features will also benefit from knowledge about the interference level experienced at the receiver side.

Such knowledge about different channel characteristics can be acquired in different ways and by measurements on either the transmitter side or receiver side of a radio link. As an example, knowledge about downlink channel characteristics can be acquired by means of device measurements. The acquired information could then be reported to the network for the setting of different transmission parameters for subsequent downlink transmissions. Alternatively, if it can be assumed that the channel is reciprocal, that is, the channel characteristics of interSee Erik Dahlman et al., 5G NR: The Next Generation Wireless Access Technology, Ch. 8 Abstract (2d Ed. 2018).

# 3.7.4 CHANNEL STATE INFORMATION REFERENCE SIGNAL

- ★ The Channel State Information (CSI) Reference Signal is a multi-purpose downlink transmission. The Base Station can configure the UE to use the CSI Reference Signal for one or more of the following:
  - Channel State Information (CSI) Reporting: the UE provides CSI reports to the Base Station based upon measurements from the CSI Reference Signal. For example, the UE derives Channel Quality Indicators (CQI), Rank Indicators (RI) and Precoding Matrix Indicators (PMI) from CSI Reference Signal measurements (Channel State Information is described in section 13.6)

See Chris Johnson, 5G New Radio in Bullets, Section 3.7.4. (2019).

Figure 186 illustrates an example Multi-User MIMO scenario which involves 3 UE being allocated a common set of Resource Blocks and symbols. Each UE is configured with 2 NZP CSI Reference Signal resources for 'Interference Measurement' and 1 NZP CSI Reference Signal resource for 'Channel Measurement'. The resources are configured such that 2 UE complete interference measurements while the 3<sup>rd</sup> UE receives its NZP CSI Reference Signal for channel measurement, i.e. 2 UE measure the interference levels generated when transmissions are scheduled towards the 3<sup>rd</sup> UE. This allows each UE to generate CQI reports which reflect the multi-user MIMO radio conditions

See Chris Johnson, 5G New Radio in Bullets, Section 3.7.4. (2019).

\* If a UE is scheduled downlink resources using single user MIMO then interference levels are likely to be dominated by intercell interference (or thermal noise) and so UE measurements should target those sources of interference. 3GPP has specified a third category of CSI Reference Signal resources which can be used for this purpose. These resources are known as CSI Interference

Measurement (IM) Resources. The Resource Elements configured for this purpose may be used to measure background interference levels, i.e. the serving cell does not transmit anything within these Resource Elements so the UE can measure background interference originating from neighbouring cells

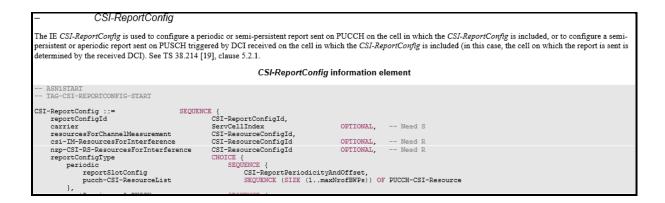
See Chris Johnson, 5G New Radio in Bullets, Section 3.7.4. (2019).

#### 8.1.4: CSI-IM-Resources for Interference Measurements

A configured CSI-RS can be used to derive information about the properties of the channel over which the CSI-RS transmitted. A CSI-RS can also be used to estimate the interference level by subtracting the expected received signal from what is actually received on the CSI-RS resource.

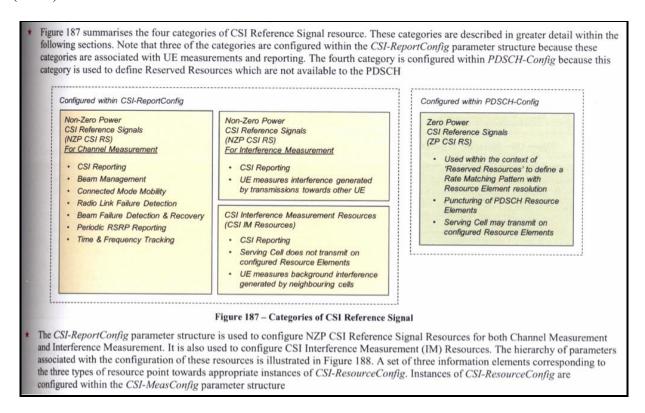
However, the interference level can also be estimated from measurements on so-called CSI-IM (Interference Measurement) resources.

See Erik Dahlman et al., 5G NR: The Next Generation Wireless Access Technology, § 8.1.4 (2d Ed. 2018).



#### See 3GPP TS 38.331 V15.15.0.

16. Claim 9 of the '780 Patent recites that the "controller" is configured to "detect received interference." The Accused Products each comprise a controller configured to detect received interference. For example, the Accused Products are configured to receive the channel state information ("CSI") CSI-ReportConfig parameter structure that informs the user equipment ("UE") of the channel and interference measurements it should make:



See Chris Johnson, 5G New Radio in Bullets, Section 3.7.4. (2019).

# 8.2: Downlink Measurements and Reporting

An NR device can be configured to carry out different measurements, in most cases with corresponding reporting to the network. In general, such a configuration of a measurement and corresponding reporting are done by means of a report configuration, in the 3GPP specifications [15] referred to as a CSI-Report Config. 4

Each resource configuration describes/indicates:

- · The specific quantity or set of quantities to be reported;
- The downlink resource(s) on which measurements should be carried out in order to derive the quantity or quantities to be reported;
- How the actual reporting is to be carried out, for example, when the reporting is to be done and what uplink
  physical channel to use for the reporting.

See Erik Dahlman et al., 5G NR: The Next Generation Wireless Access Technology, § 8.2 (2d Ed. 2018).

```
CSI-ReportConfig
The IE CSI-ReportConfig is used to configure a periodic or semi-persistent report sent on PUCCH on the cell in which the CSI-ReportConfig is included, or to configure a semi-
persistent or aperiodic report sent on PUSCH triggered by DCI received on the cell in which the CSI-ReportConfig is included (in this case, the cell on which the report is sent is
determined by the received DCI). See TS 38.214 [19], clause 5.2.1.
                                                              CSI-ReportConfig information element
 - ASN1START
- TAG-CSI-REPORTCONFIG-START
                         CSI-ReportConfig ::=
reportConfigId
carrier
    resourcesForChannelMeasurement
    csi-IM-ResourcesForInterference
    nzp-CSI-RS-ResourcesForInterference
reportConfigType
                                                     ICE {
    SEQUENCE {
        CSI-ReportPeriodicityAndOffset,
        SEQUENCE (SIZE (1..maxNrofBWPs)) OF PUCCH-CSI-Resource
        periodic
reportSlotConfig
        semiPersistentOnPUSCH
            iPersistentOnPUSCH SEQUENCE {
reportSlotConfig ENUMERATED {s15, s10, s120, s140, s180, s1160, s1320},
reportSlotOffsetList SEQUENCE (SIZE (1.. maxNrofUL-Allocations)) OF INTEGER(0..32),
p0alpha P0-PUSCH-AlphaSetId
         aperiodic
reportSlotOffsetList
                                                   SEQUENCE {
SEQUENCE (SIZE (1..maxNrofUL-Allocations)) OF INTEGER(0..32)
```

See 3GPP TS 38.331 V15.15.0.

```
CSI-MeasConfig
The IE CSI-MeasConfig is used to configure CSI-RS (reference signals) belonging to the serving cell in which CSI-MeasConfig is included, channel state information reports to
be transmitted on PUCCH on the serving cell in which CSI-MeasConfig is included and channel state information reports on PUSCH triggered by DCI received on the serving
cell in which CSI-MeasConfig is included. See also TS 38.214 [19], clause 5.2.
                                                                                                                                                              CSI-MeasConfig information element
       TAG-CSI-MEASCONFIG-START
CSI-MeasConfig ::= SEQUENCE {
    nzp-CSI-RS-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-Resources)) OF NZP-CSI-RS-Resource OPTIONAL, -- Need N
    nzp-CSI-RS-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSets)
    volume (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSets)
           nzp-CSI-RS-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSetId
         nzp-CSI-RS-ResourceSetToReleaseList SEQUENCE (SIZE (1.maxNrofNZP-CSI-RS-ResourceSetS)) OF NZP-CSI-RS-ResourceSetId OPTIONAL, -- Need N Csi-IM-ResourceToReleaseList SEQUENCE (SIZE (1.maxNrofCSI-IM-ResourceS)) OF CSI-IM-ResourceCortical OPTIONAL, -- Need N CSI-IM-ResourceSetId OPTIONAL -- NE
          csi-ResourceConfigToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-ResourceConfigurations)) OF CSI-ResourceConfigId
         csi-ResourceConfigToAddModList SEQUENCE (SIZE (1.maxNrofCSI-ResourceConfigUrations)) OF CSI-ResourceConfigUrations) OF CSI-ResourceConfigUrations) OF CSI-ResourceConfigUrations)
           csi-ReportConfigToReleaseList
                                                                                                         SEQUENCE (SIZE (1..maxNrofCSI-ReportConfigurations)) OF CSI-ReportConfigId
                                                                                                                                                                                                                                                                                                                             OPTIONAL, -- Need N
OPTIONAL, -- Need M
OPTIONAL, -- Need M
OPTIONAL, -- Need M
                                                                                                               SetupRelease { CSI-AperiodicTriggerStateList }
ist SetupRelease { CSI-SemiPersistentOnPUSCH-TriggerStateList }
           aperiodicTriggerStateList Sets
semiPersistentOnPUSCH-TriggerStateList
```

See 3GPP TS 38.331 V15.15.0.

# 5.2 UE procedure for reporting channel state information (CSI)

#### 5.2.1 Channel state information framework

The time and frequency resources that can be used by the UE to report CSI are controlled by the gNB. CSI may consist of Channel Quality Indicator (CQI), precoding matrix indicator (PMI), CSI-RS resource indicator (CRI), SS/PBCH Block Resource indicator (SSBRI), layer indicator (LI), rank indicator (RI) and/or L1-RSRP.

See 3GPP TS 38.214 V15.14.0.

#### 5.2.1.1 Reporting settings

Each Reporting Setting CSI-ReportConfig is associated with a single downlink BWP (indicated by higher layer parameter BWP-Id) given in the associated CSI-ResourceConfig for channel measurement and contains the parameter(s) for one CSI reporting band:codebook configuration including codebook subset restriction, time-domain behavior, frequency granularity for CQI and PMI, measurement restriction configurations, and the CSI-related quantities to be reported by the UE such as the layer indicator (LI), L1-RSRP, CRI, and SSBRI (SSB Resource Indicator).

The time domain behavior of the CSI-ReportConfig is indicated by the higher layer parameter reportConfigType and can be set to 'aperiodic', 'semiPersistentOnPUCCH', 'semiPersistentOnPUSCH', or 'periodic'. For periodic and semiPersistentOnPUCCH/semiPersistentOnPUSCH CSI reporting, the configured periodicity and slot offset applies in the numerology of the UL BWP in which the CSI report is configured to be transmitted on. The higher layer parameter reportQuantity indicates the CSI-related or L1-RSRP-related quantities to report. The reportFreqConfiguration indicates the reporting granularity in the frequency domain, including the CSI reporting band and if PMI/CQI reporting is wideband or sub-band. The timeRestrictionForChannelMeasurements parameter in CSI-ReportConfig can be configured to enable time domain restriction for channel measurements and

timeRestrictionForInterferenceMeasurements can be configured to enable time domain restriction for interference measurements. The CSI-ReportConfig can also contain CodebookConfig, which contains configuration parameters for Type-I or Type II CSI including codebook subset restriction, and configurations of group based reporting.

See 3GPP TS 38.214 V15.14.0.

#### 5.2.1.2 Resource settings

Each CSI Resource Setting CSI-ResourceConfig contains a configuration of a list of S≥1 CSI Resource Sets (given by higher layer parameter csi-RS-ResourceSetList), where the list is comprised of references to either or both of NZP CSI-RS resource set(s) and SS/PBCH block set(s) or the list is comprised of references to CSI-IM resource set(s). Each CSI Resource Setting is located in the DL BWP identified by the higher layer parameter BWP-id, and all CSI Resource Settings linked to a CSI Report Setting have the same DL BWP.

The time domain behavior of the CSI-RS resources within a CSI Resource Setting are indicated by the higher layer parameter resource Type and can be set to aperiodic, periodic, or semi-persistent. For periodic and semi-persistent CSI Resource Settings, the number of CSI-RS Resource Sets configured is limited to S=1. For periodic and semi-persistent CSI Resource Settings, the configured periodicity and slot offset is given in the numerology of its associated DL BWP, as given by BWP-id. When a UE is configured with multiple CSI-ResourceConfigs consisting the same NZP CSI-RS resource ID, the same time domain behavior shall be configured for the CSI-ResourceConfigs. When a UE is configured with multiple CSI-ResourceConfigs consisting the same CSI-IM resource ID, the same time-domain behavior shall be configured for the CSI-ResourceConfigs. All CSI Resource Settings linked to a CSI Report Setting shall have the same time domain behavior.

The following are configured via higher layer signaling for one or more CSI Resource Settings for channel and interference measurement:

- CSI-IM resource for interference measurement as described in clause 5.2.2.4.
- NZP CSI-RS resource for interference measurement as described in clause 5.2.2.3.1.
- NZP CSI-RS resource for channel measurement as described in clause 5.2.2.3.1.

#### See 3GPP TS 38.214 V15.14.0.

#### 7.4.1.5 CSI reference signals

#### 7.4.1.5.1 General

Zero-power (ZP) and non-zero-power (NZP) CSI-RS are defined

- for a non-zero-power CSI-RS configured by the NZP-CSI-RS-Resource IE or by the CSI-RS-Resource-Mobility
  field in the CSI-RS-ResourceConfigMobility IE, the sequence shall be generated according to clause 7.4.1.5.2
  and mapped to resource elements according to clause 7.4.1.5.3
- for a zero-power CSI-RS configured by the ZP-CSI-RS-Resource IE, the UE shall assume that the resource elements defined in clause 7.4.1.5.3 are not used for PDSCH transmission subject to clause 5.1.4.2 of [6, TS 38.214]. The UE performs the same measurement/reception on channels/signals except PDSCH regardless of whether they collide with ZP CSI-RS or not.

#### See 3GPP TS 38.211 V15.9.0.

17. Claim 9 of the '780 Patent recites that the "controller" is configured to "determine a type of the received interference from among a plurality of interference types comprising wideband interference, self interference, and narrowband interference based upon comparing at least one characteristic of a current received signal with the short term and long term historical characteristics of interference." The Accused Products each comprise a controller configured to determine a type of the received interference from among a plurality of interference types comprising wideband interference, self interference, and narrowband interference based upon comparing at least one characteristic of a current received signal with the short term and long term

historical characteristics of interference. For example, the channel state information – reference signal ("CSI-RS") and channel state information – interference measurement ("CSI-IM") resource sets may be specified across the entire bandwidth-part or just a part of the bandwidth that the UE is configured to receive:

### 8.1.2: Frequency-Domain Structure of CSI-RS Configurations

A CSI-RS is configured for a given downlink bandwidth part and is then assumed to be confined within that bandwidth part and use the numerology of the bandwidth part.

The CSI-RS can be configured to cover the full bandwidth of the bandwidth part or just a fraction of the bandwidth. In the latter case, the CSI-RS bandwidth and frequency-domain starting position are provided as part of the CSI-RS configuration.

Within the configured CSI-RS bandwidth, a CSI-RS may be configured for transmission in every resource block, referred to as CSI-RS density equal to one. However, a CSI-RS may also be configured for transmission only in every second resource block, referred to as CSI-RS density equal to 1/2. In the latter case, the CSI-RS configuration includes information about the set of resource blocks (odd resource blocks or even resource blocks) within which the CSI-RS will be transmitted. CSI-RS density equal to 1/2 is not supported for CSI-RS with 4, 8, and 12 antenna ports.

See Erik Dahlman et al., 5G NR: The Next Generation Wireless Access Technology, § 8.1.2 (2d Ed. 2018).

- ★ The parameter structure used to configure a CSI IM Resource is presented in Table 125. This parameter structure includes a CSI IM Resource identity and a specification of the resources which are allocated to the CSI IM Resource. There is a choice of configuring either pattern 0 or pattern 1:
  - Pattern 0 corresponds to a 2×2 grid of Resource Elements. subcarrierLocation-p0 and symbolLocation-p0 specify the first subcarrier and first symbol belonging to the 2×2 grid
  - Pattern 1 corresponds to a 4×1 grid of Resource Elements (4 subcarriers × 1 symbol). subcarrierLocation-p1 and symbolLocation-p1 specify the first subcarrier and the only symbol belonging to the 4×1 grid

Examples of patterns 0 and 1 are illustrated in Figure 199

		CSI-IM	-Resource					
csi-IM-ResourceId	0 to 31							
csi-IM-ResourceElementPattern	CHOICE							
	pattern0		pattern1					
	subcarrierL	subcarrierLocation-p0 0, 2, 4, 6, 8 10		subcarrierLocation-p1		0, 4, 8		
	symbolLoca	ation-p0	0 to 12	symbolLocation-p1		0 to 13		
freqBand	startingRB 0 to 274, step 4							
	nrofRBs 24 to 276, step							
periodicityAndOffset	CHOICE							
	4 slots	0 to 3	20 slots	0 to 19	160 slots	0 to 159		
	5 slots	0 to 4	32 slots	0 to 31	320 slots	0 to 319		
	8 slots	0 to 7	40 slots	0 to 39	640 slots	0 to 639		
	10 slots	0 to 9	64 slots	0 to 63				
	16 slots	0 to 15	80 slots	0 to 79	10			

Table 125 - Parameter structure used to configure a CSI IM Resource

★ The freqBand section of the parameter structure specifies the set of contiguous Resource Blocks across which the CSI IM Resource is configured. The startingRB is relative to Common Resource Block 0, i.e. the lower edge of the channel bandwidth, rather than the lower edge of the Bandwidth Part. The nrofRBs information element has a minimum value of 24 but if the Bandwidth Part occupies less than 24 Resource Blocks then the CSI IM Resource occupies only the Resource Blocks within the Bandwidth Part

#### See Chris Johnson, 5G New Radio in Bullets, Section 3.7.4. (2019).

See 3GPP TS 38.331 V 15.15.0.

See 3GPP TS 38.331 V 15.15.0.

#### 5.2.2.3.1 NZP CSI-RS

The UE can be configured with one or more NZP CSI-RS resource set configuration(s) as indicated by the higher layer parameters CSI-ResourceConfig, and NZP-CSI-RS-ResourceSet. Each NZP CSI-RS resource set consists of  $K \ge 1$  NZP CSI-RS resource(s).

The following parameters for which the UE shall assume non-zero transmission power for CSI-RS resource are configured via the higher layer parameter NZP-CSI-RS-Resource, CSI-ResourceConfig and NZP-CSI-RS-ResourceSet for each CSI-RS resource configuration:

- nzp-CSI-RS-ResourceId determines CSI-RS resource configuration identity.
- periodicityAndOffset defines the CSI-RS periodicity and slot offset for periodic/semi-persistent CSI-RS. All the
  CSI-RS resources within one set are configured with the same periodicity, while the slot offset can be same or
  different for different CSI-RS resources.
- resourceMapping defines the number of ports, CDM-type, and OFDM symbol and subcarrier occupancy of the CSI-RS resource within a slot that are given in clause 7.4.1.5 of [4, TS 38.211].
- nrofPorts in resourceMapping defines the number of CSI-RS ports, where the allowable values are given in clause 7.4.1.5 of [4, TS 38.211].
- density in resourceMapping defines CSI-RS frequency density of each CSI-RS port per PRB, and CSI-RS PRB offset in case of the density value of 1/2, where the allowable values are given in clause 7.4.1.5 of [4, TS 38.211]. For density 1/2, the odd/even PRB allocation indicated in density is with respect to the common resource block grid.
- cdm-Type in resourceMapping defines CDM values and pattern, where the allowable values are given in clause 7.4.1.5 of [4, TS 38.211].
- powerControlOffset: which is the assumed ratio of PDSCH EPRE to NZP CSI-RS EPRE when UE derives CSI feedback and takes values in the range of [-8, 15] dB with 1 dB step size.
- powerControlOffsetSS: which is the assumed ratio of NZP CSI-RS EPRE to SS/PBCH block EPRE.
- scramblingID defines scrambling ID of CSI-RS with length of 10 bits.
- BWP-Id in CSI-ResourceConfig defines which bandwidth part the configured CSI-RS is located in.
- repetition in NZP-CSI-RS-ResourceSet is associated with a CSI-RS resource set and defines whether UE can
  assume the CSI-RS resources within the NZP CSI-RS Resource Set are transmitted with the same downlink
  spatial domain transmission filter or not as described in clause 5.1.6.1.2, and can be configured only when the

higher layer parameter reportQuantity associated with all the reporting settings linked with the CSI-RS resource set is set to 'cri-RSRP' or 'none'.

- qcl-InfoPeriodicCSI-RS contains a reference to a TCI-State indicating QCL source RS(s) and QCL type(s). If the
  TCI-State is configured with a reference to an RS with 'QCL-TypeD' association, that RS may be an SS/PBCH
  block located in the same or different CC/DL BWP or a CSI-RS resource configured as periodic located in the
  same or different CC/DL BWP.
- trs-Info in NZP-CSI-RS-ResourceSet is associated with a CSI-RS resource set and for which the UE can assume that the antenna port with the same port index of the configured NZP CSI-RS resources in the NZP-CSI-RS-ResourceSet is the same as described in clause 5.1.6.1.1 and can be configured when reporting setting is not configured or when the higher layer parameter reportQuantity associated with all the reporting settings linked with the CSI-RS resource set is set to 'none'.

See 3GPP TS 38.214 V15.14.0.

All CSI-RS resources within one set are configured with same density and same nrofPorts, except for the NZP CSI-RS resources used for interference measurement.

The UE expects that all the CSI-RS resources of a resource set are configured with the same starting RB and number of RBs and the same cdm-type.

The bandwidth and initial common resource block (CRB) index of a CSI-RS resource within a BWP, as defined in clause 7.4.1.5 of [4, TS 38.211], are determined based on the higher layer parameters nrofRBs and startingRB, respectively, within the CSI-FrequencyOccupation IE configured by the higher layer parameter freqBand within the CSI-RS-ResourceMapping IE. Both nrofRBs and startingRB are configured as integer multiples of 4 RBs, and the reference point for startingRB is CRB 0 on the common resource block grid. If  $startingRB < N_{BWP}^{start}$ , the UE shall assume that the initial CRB index of the CSI-RS resource is  $N_{initial\ RB} = N_{BWP}^{start}$ , otherwise  $N_{initial\ RB} = startingRB$ . If  $nrofRBs > N_{BWP}^{size} + N_{BWP}^{start} - N_{initial\ RB}$ , the UE shall assume that the bandwidth of the CSI-RS resource is  $N_{CSI-RS}^{BW} = N_{BWP}^{size} + N_{BWP}^{start} - N_{initial\ RB}$ , otherwise  $N_{CSI-RS}^{BW} = nrofRBs$ . In all cases, the UE shall expect that  $N_{CSI-RS}^{BW} \ge \min{(24, N_{BWP}^{size})}$ .

#### See 3GPP TS 38.214 V15.14.0.

## 5.2.2.4 Channel State Information – Interference Measurement (CSI-IM)

The UE can be configured with one or more CSI-IM resource set configuration(s) as indicated by the higher layer parameter CSI-IM-ResourceSet. Each CSI-IM resource set consists of  $K \ge 1$  CSI-IM resource(s).

The following parameters are configured via higher layer parameter CSI-IM-Resource for each CSI-IM resource configuration:

- csi-IM-ResourceId determines CSI-IM resource configuration identity
- subcarrierLocation-p0 or subcarrierLocation-p1 defines subcarrier occupancy of the CSI-IM resource within a slot for csi-IM-ResourceElementPattern set to 'pattern0' or 'pattern1', respectively.
- symbolLocation-p0 or symbolLocation-p1 defines OFDM symbol location of the CSI-IM resource within a slot for csi-IM-ResourceElementPattern set to 'pattern0' or 'pattern1', respectively.
- periodicityAndOffset defines the CSI-IM periodicity and slot offset for periodic/semi-persistent CSI-IM.
- freqBand includes parameters to enable configuration of frequency-occupancy of CSI-IM

In each of the PRBs configured by freqBand, the UE shall assume each CSI-IM resource is located in,

- resource elements (k<sub>CSI-IM</sub>, l<sub>CSI-IM</sub>), (k<sub>CSI-IM</sub>, l<sub>CSI-IM</sub> +1), (k<sub>CSI-IM</sub> +1, l<sub>CSI-IM</sub>) and (k<sub>CSI-IM</sub> +1, l<sub>CSI-IM</sub> +1), if csi-IM-ResourceElementPattern is set to 'pattern0',
- resource elements (k<sub>CSI-IM</sub>, l<sub>CSI-IM</sub>), (k<sub>CSI-IM</sub> +1, l<sub>CSI-IM</sub>), (k<sub>CSI-IM</sub> +2, l<sub>CSI-IM</sub>) and (k<sub>CSI-IM</sub> +3, l<sub>CSI-IM</sub>) if csi-IM-ResourceElementPattern is set to 'pattern1',

where  $k_{CSI-IM}$  and  $l_{CSI-IM}$  are the configured frequency-domain location and time-domain location, respectively, given by the higher layer parameters in the above list.

#### See 3GPP TS 38.214 V15.14.0.

For example, channel measurements such as CQI are based upon either multiple in time or single time readings.

#### 5.2.2 Channel state information

#### 5.2.2.1 Channel quality indicator (CQI)

The CQI indices and their interpretations are given in Table 5.2.2.1-2 or Table 5.2.2.1-4 for reporting CQI based on QPSK, 16QAM and 64QAM. The CQI indices and their interpretations are given in Table 5.2.2.1-3 for reporting CQI based on QPSK, 16QAM, 64QAM and 256QAM.

Based on an unrestricted observation interval in time unless specified otherwise in this clause, and an unrestricted observation interval in frequency, the UE shall derive for each CQI value reported in uplink slot  $\chi$  the highest CQI index which satisfies the following condition:

- A single PDSCH transport block with a combination of modulation scheme, target code rate and transport block size corresponding to the CQI index, and occupying a group of downlink physical resource blocks termed the CSI reference resource, could be received with a transport block error probability not exceeding:
  - 0.1, if the higher layer parameter cqi-Table in CSI-ReportConfig configures 'table1' (corresponding to Table 5.2.2.1-2), or 'table2' (corresponding to Table 5.2.2.1-3), or
  - 0.00001, if the higher layer parameter cqi-Table in CSI-ReportConfig configures 'table3' (corresponding to Table 5.2.2.1-4).

If higher layer parameter timeRestrictionForChannelMeasurements is set to "notConfigurea", the UE shall derive the channel measurements for computing CSI value reported in uplink slot n based on only the NZP CSI-RS, no later than the CSI reference resource, (defined in TS 38.211[4]) associated with the CSI resource setting.

If higher layer parameter timeRestrictionForChannelMeasurements in CSI-ReportConfig is set to "Configured", the UE shall derive the channel measurements for computing CSI reported in uplink slot n based on only the most recent, no later than the CSI reference resource, occasion of NZP CSI-RS (defined in [4, TS 38.211]) associated with the CSI resource setting.

If higher layer parameter timeRestrictionForInterferenceMeasurements is set to "notConfigured", the UE shall derive the interference measurements for computing CSI value reported in uplink slot n based on only the CSI-IM and/or NZP CSI-RS for interference measurement no later than the CSI reference resource associated with the CSI resource setting.

If higher layer parameter timeRestrictionForInterferenceMeasurements in CSI-ReportConfig is set to "Configured", the UE shall derive the interference measurements for computing the CSI value reported in uplink slot n based on the most recent, no later than the CSI reference resource, occasion of CSI-IM and/or NZP CSI-RS for interference measurement (defined in [4, TS 38.211]) associated with the CSI resource setting.

See 3GPP TS 38.214 §5.2.2.1.

For example, rank indicator ("RI") and pre-coding matrix indicator ("PMI") are also computed based on SRS measurements.

To select a suitable rank and a corresponding precoder matrix, the network needs estimates of the channels between the device antenna ports and the corresponding network receive antennas. To enable this, a device configured for codebook-based PUSCH would typically be configured for transmission of at least one multi-port SRS. Based on measurements on the configured SRS, the network can sound the channel and determine a suitable rank and precoder matrix.

See Erik Dahlman et al., 5G NR: The Next Generation Wireless Access Technology, § 11.3.1 (2d Ed. 2018).

18. Claim 9 of the '780 Patent recites that the "controller" is configured to "set the at least one settable link characteristic to compensate for the received interference based upon the interference type." The Accused Products each comprise a controller configured to set the at least one settable link characteristic to compensate for the received interference based upon the interference type. For example, the Accused Products report at least wideband and subband CQI, wideband and subband PMI, and also RI:

wideband CQI or subband CQI reporting, as configured by the higher layer parameter cqi-FormatIndicator.
 When wideband CQI reporting is configured, a wideband CQI is reported for each codeword for the entire CSI reporting band. When subband CQI reporting is configured, one CQI for each codeword is reported for each subband in the CSI reporting band.

See 3GPP TS 38.214 V.15.14.0 §5.2.1.4.

wideband PMI or subband PMI reporting as configured by the higher layer parameter pmi-FormatIndicator. When wideband PMI reporting is configured, a wideband PMI is reported for the entire CSI reporting band. When subband PMI reporting is configured, except with 2 antenna ports, a single wideband indication (ii in clause 5.2.2.2) is reported for the entire CSI reporting band and one subband indication (ii clause 5.2.2.2) is reported for each subband in the CSI reporting band. When subband PMIs are configured with 2 antenna ports, a PMI is reported for each subband in the CSI reporting band.

See 3GPP TS 38.214 V.15.14.0 §5.2.1.4.

## 5.2.2.1 Channel quality indicator (CQI)

The CQI indices and their interpretations are given in Table 5.2.2.1-2 or Table 5.2.2.1-4 for reporting CQI based on QPSK, 16QAM and 64QAM. The CQI indices and their interpretations are given in Table 5.2.2.1-3 for reporting CQI based on QPSK, 16QAM, 64QAM and 256QAM.

Based on an unrestricted observation interval in time unless specified otherwise in this clause, and an unrestricted observation interval in frequency, the UE shall derive for each CQI value reported in uplink slot n the highest CQI index which satisfies the following condition:

- A single PDSCH transport block with a combination of modulation scheme, target code rate and transport block size corresponding to the CQI index, and occupying a group of downlink physical resource blocks termed the CSI reference resource, could be received with a transport block error probability not exceeding:
  - 0.1, if the higher layer parameter cqi-Table in CSI-ReportConfig configures 'table1' (corresponding to Table 5.2.2.1-2), or 'table2' (corresponding to Table 5.2.2.1-3), or
  - 0.00001, if the higher layer parameter cqi-Table in CSI-ReportConfig configures 'table3' (corresponding to Table 5.2.2.1-4).

If higher layer parameter timeRestrictionForChannelMeasurements is set to "notConfigured", the UE shall derive the channel measurements for computing CSI value reported in uplink slot n based on only the NZP CSI-RS, no later than the CSI reference resource, (defined in TS 38.211[4]) associated with the CSI resource setting.

If higher layer parameter timeRestrictionForChannelMeasurements in CSI-ReportConfig is set to "Configured", the UE shall derive the channel measurements for computing CSI reported in uplink slot n based on only the most recent, no later than the CSI reference resource, occasion of NZP CSI-RS (defined in [4, TS 38.211]) associated with the CSI resource setting.

If higher layer parameter timeRestrictionForInterferenceMeasurements is set to "notConfigured", the UE shall derive the interference measurements for computing CSI value reported in uplink slot n based on only the CSI-IM and/or NZP CSI-RS for interference measurement no later than the CSI reference resource associated with the CSI resource setting.

#### See 3GPP TS 38.214 V.15.14.0.

The bitwidth for RI/LI/CQI/CRI of codebookType=typeI-SinglePanel is provided in Tables 6.3.1.1.2-3.

Table 6.3.1.1.2-3: RI, LI, CQI, and CRI of codebookType=typeI-SinglePanel

	Bitwidth						
Field	1 antenna port	2 antenna	4 antenna	>4 antenna ports			
		ports	ports	Rank1~4	Rank5~8		
Rank Indicator	0	$\min(1,\lceil \log_2 n_{RI} \rceil)$	$\min(2,\lceil \log_2 n_{\rm RI} \rceil)$	$\lceil \log_2 n_{\mathrm{RI}} \rceil$	$\lceil \log_2 n_{\mathrm{RI}} \rceil$		
Layer Indicator	0	$\lceil \log_2 v \rceil$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$		
Wide-band CQI for the first TB	4	4	4	4	4		
Wideband CQI for the second TB	0	0	0	0	4		
Subband differential CQI for the first TB	2	2	2	2	2		
Subband differential CQI for the second TB	0	0	0	0	2		
CRI	$\left\lceil \log_2\left(K_s^{\text{CSI-RS}}\right) \right\rceil$	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$		

 $n_{\rm RI}$  in Table 6.3.1.1.2-3 is the number of allowed rank indicator values according to Clause 5.2.2.2.1 [6, TS 38.214].

 $\upsilon$  is the value of the rank. The value of  $K_s^{\text{CSI-RS}}$  is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

See 3GPP TS 38.212 V15.12.0 §6.3.1.1.2.

- Apple also knowingly and intentionally induces infringement of one or more claims 19. of the '780 Patent in violation of 35 U.S.C. § 271(b). As of at least the filing and service of this complaint, Apple has knowledge of the '780 Patent and the infringing nature of the Accused Products. Despite this knowledge of the '780 Patent, Apple continues to actively encourage and instruct its customers and end users (for example, through user manuals and online instruction materials on its website) to use the Accused Products in ways that directly infringe the '780 Patent, for example by utilizing the accused 5G functionality on the Accused Products in an infringing See, e.g., https://support.apple.com/en-gb/guide/iphone/iph3dd5f213/15.0/ios/15.0 manner. (Apple iPhone 13 User Manual – "View or change cellular data settings on iPhone"). Further, 5G like iPhone." Apple advertises to customers that "[n]o one does https://www.apple.com/iphone-13/. Apple provides these instructions and online materials to customers and end users knowing and intending (or with willful blindness to the fact) that its customers and end users will commit these infringing acts. Apple also continues to make, use, offer for sale, sell, and/or import the Accused Products, despite its knowledge of the '780 Patent, thereby specifically intending for and inducing its customers to infringe the '780 Patent through the customers' normal and customary use of the Accused Products.
- 20. Apple has also infringed, and continues to infringe, one or more claims of the '780 Patent by selling, offering for sale, or importing into the United States, the Accused Products, knowing that the Accused Products constitute a material part of the inventions claimed in the '780 Patent, are especially made or adapted to infringe the '780 Patent, and are not staple articles or commodities of commerce suitable for non-infringing use (as exemplified by the materials cited above). As of at least the filing and service of this complaint, Apple has knowledge of the '780

Patent and the infringing nature of the Accused Products. Apple has been, and currently is, contributorily infringing the '780 Patent in violation of 35 U.S.C. §§ 271(c) and (f).

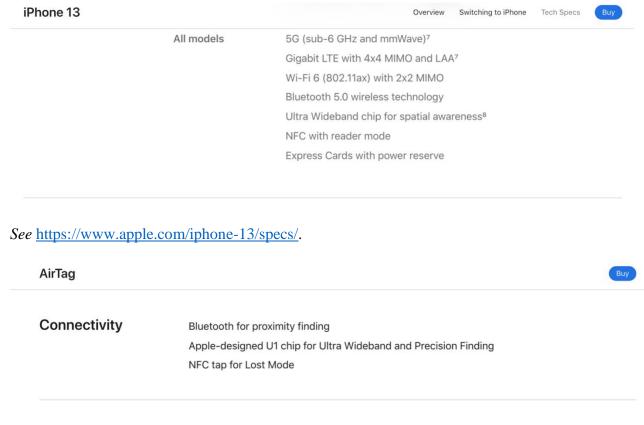
- 21. By making, using, offering for sale, selling and/or importing into the United States the Accused Products, Apple has injured Plaintiff and is liable for infringement of the '780 Patent pursuant to 35 U.S.C. § 271.
- 22. As a result of Apple's infringement of the '780 Patent, Plaintiff is entitled to monetary damages (past, present, and future) in an amount adequate to compensate for Apple's infringement, but in no event less than a reasonable royalty for the use made of the invention by Apple, together with interest and costs as fixed by the Court.

#### **COUNT II**

#### INFRINGEMENT OF U.S. PATENT NO. 7,321,777

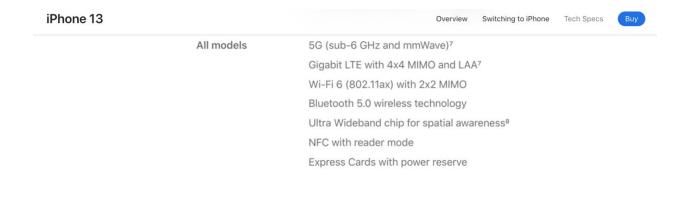
- 23. Plaintiff realleges and incorporates by reference the foregoing paragraphs as if fully set forth herein.
- 24. Apple has been and is now directly infringing the '777 Patent, literally and/or under the doctrine of equivalents, in violation of 35 U.S.C. § 271(a), including by making, using, selling, and/or offering for sale in the United States or importing into the United States infringing products and systems, including at least the Accused Products identified above. The Accused Products satisfy all of the claim limitations of one or more claims of the '777 Patent, including but not limited to claim 12.
- 25. Claim 12 of the '777 Patent recites "[a] wireless device locator for locating a target wireless communications device." To the extent the preamble is limiting, the Accused Products comprise of a wireless device locator for locating a target wireless communications device. For

example, the accused Apple iPhone 13 supports ultra-wideband functionality for locating a target wireless communications device, such as the Apple AirTag:

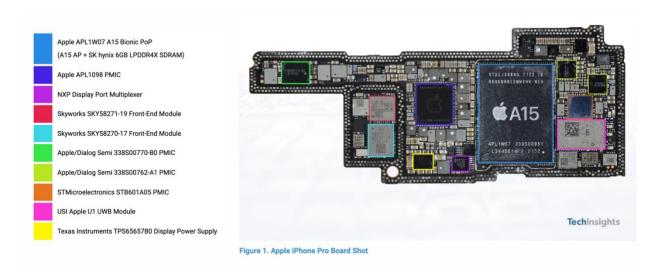


See <a href="https://www.apple.com/airtag/?afid=p238%7Cs43Yu6bTM-dc\_mtid\_1870765e38482\_pcrid\_573879837530\_pgrid\_120928559493\_&cid=aos-us-kwgo-btb-slid---product-.">https://www.apple.com/airtag/?afid=p238%7Cs43Yu6bTM-dc\_mtid\_1870765e38482\_pcrid\_573879837530\_pgrid\_120928559493\_&cid=aos-us-kwgo-btb-slid---product-.</a>

26. Claim 12 of the '777 Patent recites that the wireless device locator comprises "at least one antenna and a transceiver connected thereto." The Accused Products comprise at least one antenna and a transceiver connected thereto. For example, the Apple iPhone 13 comprises at least one antenna and a transceiver:



### See https://www.apple.com/iphone-13/specs/.



See https://www.techinsights.com/blog/teardown/apple-iphone-13-pro-teardown.

27. Claim 12 of the '777 Patent recites "a controller for" "cooperating with said transceiver for transmitting a plurality of location finding signals to the target wireless communications device and receiving a respective reply signal therefrom for each of said location finding signals." The Accused Products comprise a controller for cooperating with said transceiver for transmitting a plurality of location finding signals to the target wireless communications device and receiving a respective reply signal therefrom for each of said location finding signals. For example, the Apple iPhone 13 comprises a controller that cooperates with a transceiver for transmitting a plurality of location finding signals to a target wireless communications device (such

as the Apple AirTag) and receiving a respective reply signal therefrom for each of said location finding signals:



# Cold. Warm. Warmer. Hot.

If your AirTag is nearby, your iPhone can lead you straight to it with Precision Finding.¹ You'll see the distance to your AirTag and the direction to head in — all thanks to Ultra Wideband technology.

#### Precision Finding compatible with:

iPhone 11
iPhone 11 Pro and iPhone 11 Pro Max
iPhone 12 and iPhone 12 mini
iPhone 12 Pro and iPhone 12 Pro Max
iPhone 13 and iPhone 13 mini
iPhone 13 Pro and iPhone 13 Pro Max

See <a href="https://www.apple.com/airtag/?afid=p238%7Cs43Yu6bTM-dc\_mtid\_1870765e38482\_pcrid\_573879837530\_pgrid\_120928559493\_&cid=aos-us-kwgo-btb-slid---product-.">https://www.apple.com/airtag/?afid=p238%7Cs43Yu6bTM-dc\_mtid\_1870765e38482\_pcrid\_573879837530\_pgrid\_120928559493\_&cid=aos-us-kwgo-btb-slid---product-.</a>

# Use Precision Finding to pinpoint the location of your item

If you have a supported iPhone model with Ultra Wideband and your AirTag is nearby<sup>1</sup>, you can use Precision Finding to help find it, even if it's stuck between couch cushions or hiding under a stack of mail. If your AirTag is out of range of your connected device, get directions to it first.



- 1. Open the Find My app, then tap the Items tab.
- 2. Choose the AirTag you want to locate, then tap Find 1.
- 3. Follow the onscreen instructions and move around the space until your iPhone connects to your AirTag.
- 4. Your iPhone displays the distance and direction to your AirTag. Use that information to get closer to your AirTag until you find your lost item.
- 5. Tap the X button X when you're done.

#### If you need more help

You can tap the sound button to play a sound on your AirTag if it's within Bluetooth range of your connected device. If it's too dark, a flashlight button will appear. To turn on the flashlight, tap it.

If you don't see Find ①, make sure that you turned on Location Access for the Find My app.

- Go to Settings > Privacy > Location Services, and check that Location Services is on.
- 2. Scroll down and tap Find My.
- 3. Check "Ask Next Time," "While Using the App," or "While Using the App or Widgets." For the most accurate location, turn on Precise Location.

See https://support.apple.com/en-us/HT210967.

#### 6.9.1.2.2 Single-sided two-way ranging (SS-TWR)

SS-TWR involves a measurement of the round-trip delay of a single message from one device to another and a response sent back to the original device. The operation of SS-TWR is as shown in Figure 6-47a, where device A initiates the exchange and device B responds to complete the exchange and  $T_{\text{prop}}$  is the propagation time of the RMARKER between the devices.

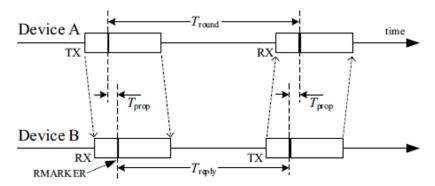


Figure 6-47a—SS-TWR

Each device precisely measures the transmission and reception times of the message frames, and so can calculate times  $T_{\text{round}}$  and  $T_{\text{reply}}$  by simple subtraction. Hence, the resultant TOF may be estimated as  $\hat{T}_{\text{prop}}$  by the equation:

$$\hat{T}_{\rm prop} \, = \, \frac{1}{2} (\, T_{\rm round} - T_{\rm reply})$$

\* \* \*

When employing SS-TWR, for the TOF to be calculated at device A, device A needs the reply time  $T_{\rm reply}$  employed by device B. When  $T_{\rm reply}$  is determined by device B after its transmission, an additional message is necessary to bring this value to device A, as shown in Figure 6-48a and described in 6.9.6.3. When  $T_{\rm reply}$  can be accurately predicted by device B before its transmission, the value can be embedded in the reply message itself, as shown in Figure 6-48b and described in 6.9.6.4. Alternatively, if device B has the ability to always reply with sufficiently accurate constant or pre-known reply time, it obviates the need for any transfer of  $T_{\rm reply}$  as part of the ranging exchange, as described in 6.9.6.5.

\* \* \*

#### 6.9.1.2.3 Double-sided two-way ranging (DS-TWR)

DS-TWR is an extension of SS-TWR in which two round-trip time measurements are used and combined to give the TOF result with a reduced error in the presence of uncorrected clock frequency offset even for quite long response delays. The operation of DS-TWR is shown in Figure 6-47b, where device A initiates the first round-trip time measurement to which device B responds, after which device B initiates the second round-trip time measurement to which device A responds completing the full DS-TWR exchange and  $T_{\rm prop}$  is the propagation time of the RMARKER between the devices.

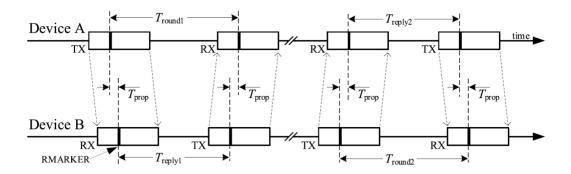


Figure 6-47b—DS-TWR

Each device precisely measures the transmission and reception times of the messages, and the resultant TOF may be estimated as  $\hat{T}_{prop}$  by the equation:

$$\hat{T}_{\text{prop}} = \frac{(T_{\text{round1}} \times T_{\text{round2}} - T_{\text{reply1}} \times T_{\text{reply2}})}{(T_{\text{round1}} + T_{\text{round2}} + T_{\text{reply1}} + T_{\text{reply2}})}$$

See IEEE 802.15.4z-2020 - IEEE Standard for Low-Rate Wireless Networks—Amendment 1: Enhanced Ultra Wideband (UWB) Physical Layers (PHYs) and Associated Ranging Techniques at Sections 6.9.1.2.2, 6.9.1.2.3.

28. Claim 12 of the '777 Patent recites "a controller for" "determining a propagation delay associated with the transmission of each location finding signal and the respective reply signal therefor based upon a known device latency of the target wireless communications device." The Accused Products comprise a controller for determining a propagation delay associated with the transmission of each location finding signal and the respective reply signal therefor based upon a known device latency of the target wireless communications device. For example, the Apple

iPhone 13 comprises a controller for determining a propagation delay associated with the transmission of each location finding signal and the respective reply signal therefor based upon a known device latency of the target wireless communications device (such as an Apple AirTag):



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See

https://www.apple.com/airtag/?afid=p238%7Cs43Yu6bTM-

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SS-TWR involves a measurement of the round-trip delay of a single message from one device to another and a response sent back to the original device. The operation of SS-TWR is as shown in Figure 6-47a, where device A initiates the exchange and device B responds to complete the exchange and  $T_{\text{prop}}$  is the propagation time of the RMARKER between the devices.

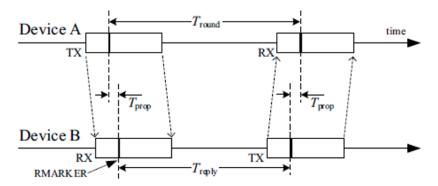


Figure 6-47a—SS-TWR

Each device precisely measures the transmission and reception times of the message frames, and so can calculate times  $T_{\text{round}}$  and  $T_{\text{reply}}$  by simple subtraction. Hence, the resultant TOF may be estimated as  $\hat{T}_{\text{prop}}$  by the equation:

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When employing SS-TWR, for the TOF to be calculated at device A, device A needs the reply time  $T_{\rm reply}$  employed by device B. When  $T_{\rm reply}$  is determined by device B after its transmission, an additional message is necessary to bring this value to device A, as shown in Figure 6-48a and described in 6.9.6.3. When  $T_{\rm reply}$  can be accurately predicted by device B before its transmission, the value can be embedded in the reply message itself, as shown in Figure 6-48b and described in 6.9.6.4. Alternatively, if device B has the ability to always reply with sufficiently accurate constant or pre-known reply time, it obviates the need for any transfer of  $T_{\rm reply}$  as part of the ranging exchange, as described in 6.9.6.5.

\* \* \*

#### 6.9.1.2.3 Double-sided two-way ranging (DS-TWR)

DS-TWR is an extension of SS-TWR in which two round-trip time measurements are used and combined to give the TOF result with a reduced error in the presence of uncorrected clock frequency offset even for quite long response delays. The operation of DS-TWR is shown in Figure 6-47b, where device A initiates the first round-trip time measurement to which device B responds, after which device B initiates the second round-trip time measurement to which device A responds completing the full DS-TWR exchange and  $T_{\rm prop}$  is the propagation time of the RMARKER between the devices.

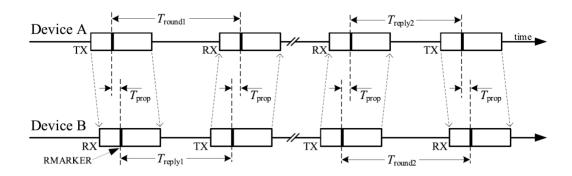


Figure 6-47b—DS-TWR

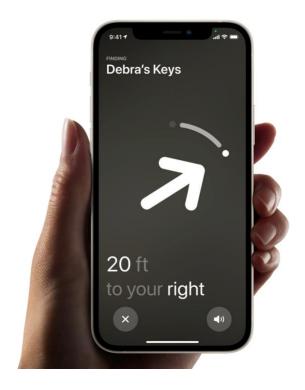
Each device precisely measures the transmission and reception times of the messages, and the resultant TOF may be estimated as  $\hat{T}_{prop}$  by the equation:

$$\hat{T}_{\text{prop}} = \frac{(T_{\text{round1}} \times T_{\text{round2}} - T_{\text{reply1}} \times T_{\text{reply2}})}{(T_{\text{round1}} + T_{\text{round2}} + T_{\text{reply1}} + T_{\text{reply2}})}$$

See IEEE 802.15.4z-2020 - IEEE Standard for Low-Rate Wireless Networks—Amendment 1: Enhanced Ultra Wideband (UWB) Physical Layers (PHYs) and Associated Ranging Techniques at Sections 6.9.1.2.2, 6.9.1.2.3.

29. Claim 12 of the '777 Patent recites "a controller for" "estimating a range to the target wireless communications device based upon a plurality of determined propagation delays." The Accused Products comprise a controller for estimating a range to the target wireless communications device based upon a plurality of determined propagation delays. For example, the Apple iPhone 13 comprises a controller for estimating a range to the target wireless

communications device (such as an Apple AirTag) based upon a plurality of determined propagation delays:



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See https://support.apple.com/en-us/HT210967.

#### 6.9.1.1 Overview

Support for ranging is optional. A device that supports ranging is called a ranging-capable device (RDEV). An RDEV shall support the ranging counter described in 6.9.1.3 and the figure of merit (FoM) described in 6.9.1.7. An RDEV may support optional crystal characterization described in 6.9.1.6 and the optional dynamic preamble code and channel selection (DPS) described in "Applications of IEEE Std 802.15.4" [B3]. RDEVs produce ranging results, used by higher layers to compute the ranges between devices. These ranging results may include transmit and receive ranging counter values, ranging FoM, angle of arrival (AOA) information, ranging tracking interval, and ranging tracking offset values.

Ranging is an optional feature. This standard includes optional features to support relative positioning and localization. Accurate location may be achieved by a ranging-capable device (RDEV) using one of the ultra wideband (UWB) PHYs defined in this standard that provide the capability to accurately determine packet reception and transmission times. With accurate message timestamping, techniques such as two-way ranging (TWR) time of flight (TOF) can give very accurate estimates of relative separation distance between

two devices. Similarly, an accurate location estimate for a mobile device can be determined, for example, when its distance from a number of fixed devices (of known location) is ascertained.

See IEEE 802.15.4z-2020 - IEEE Standard for Low-Rate Wireless Networks—Amendment 1: Enhanced Ultra Wideband (UWB) Physical Layers (PHYs) and Associated Ranging Techniques at Section 6.9.1.1.

30. Apple also knowingly and intentionally induces infringement of one or more claims of the '777 Patent in violation of 35 U.S.C. § 271(b). As of at least the filing and service of this complaint, Apple has knowledge of the '777 Patent and the infringing nature of the Accused Products. Despite this knowledge of the '777 Patent, Apple continues to actively encourage and instruct its customers and end users (for example, through user manuals and online instruction materials on its website) to use the Accused Products in ways that directly infringe the '777 Patent, for example by utilizing the accused ultra-wideband functionality on the Accused Products in an infringing See. https://support.apple.com/enmanner. e.g., gb/guide/iphone/ipha779f0c10/15.0/ios/15.0 (Apple iPhone 13 User Manual – "Locate an AirTag or other item in Find My on iPhone"); https://support.apple.com/en-us/HT210973 (Apple AirTag instructions). Further, Apple advertises to its customers and end users that the "Precision Finding" mode on an Apple handset utilizes the infringing ultra-wideband technology to locate an Apple AirTag. *See* <a href="https://support.apple.com/en-gb/HT210967">https://support.apple.com/en-gb/HT210967</a>. Apple provides these instructions and online materials knowing and intending (or with willful blindness to the fact) that its customers and end users will commit these infringing acts. Apple also continues to make, use, offer for sale, sell, and/or import the Accused Products, despite its knowledge of the '777 Patent, thereby specifically intending for and inducing its customers to infringe the '777 Patent through the customers' normal and customary use of the Accused Products.

- Apple has also infringed, and continues to infringe, one or more claims of the '777 Patent by selling, offering for sale, or importing into the United States, the Accused Products, knowing that the Accused Products constitute a material part of the inventions claimed in the '777 Patent, are especially made or adapted to infringe the '777 Patent, and are not staple articles or commodities of commerce suitable for non-infringing use (as exemplified by the materials cited above). As of at least the filing and service of this complaint, Apple has knowledge of the '777 Patent and the infringing nature of the Accused Products. Apple has been, and currently is, contributorily infringing the '777 Patent in violation of 35 U.S.C. §§ 271(c) and (f).
- 32. By making, using, offering for sale, selling and/or importing into the United States the Accused Products, Apple has injured Plaintiff and is liable for infringement of the '777 Patent pursuant to 35 U.S.C. § 271.
- 33. As a result of Apple's infringement of the '777 Patent, Plaintiff is entitled to monetary damages (past, present, and future) in an amount adequate to compensate for Apple's infringement, but in no event less than a reasonable royalty for the use made of the invention by Apple, together with interest and costs as fixed by the Court.

#### PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests that this Court enter:

- a. A judgment in favor of Plaintiff that Apple has infringed, either literally and/or under the doctrine of equivalents, the '780 and '777 Patents;
- b. A judgment and order requiring Apple to pay Plaintiff its damages (past, present, and future), costs, expenses, and pre-judgment and post-judgment interest for Apple's infringement of the '780 and '777 Patents;
- c. A judgment and order requiring Apple to pay Plaintiff compulsory ongoing licensing fees, as determined by the Court in equity.
- d. A judgment and order requiring Apple to provide an accounting and to pay supplemental damages to Plaintiff, including without limitation, pre-judgment and post-judgment interest and compensation for infringing products released after the filing of this case that are not colorably different from the accused products;
- e. A judgment and order finding that this is an exceptional case within the meaning of 35 U.S.C. § 285 and awarding to Plaintiff its reasonable attorneys' fees against Apple; and
- f. Any and all other relief as the Court may deem appropriate and just under the circumstances.

### **DEMAND FOR JURY TRIAL**

Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial by jury of any issues so triable by right.

Dated: January 20, 2022 Respectfully submitted,

## /s/ Brett E. Cooper

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Attorneys for Plaintiff Speir Technologies Limited